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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

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AZ CORP COMMISSION
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SUSAN BITTER SMITH, Chairman 2015 NOV 2 AM 10 54
BOB STUMP
BOB BURNS
DOUG LITTLE
TOM FORESE

In the matter of:

CONCORDIA FINANCING
COMPANY, LTD, a/k/a "CONCORDIA
FINANCE,"

ER FINANCIAL & ADVISORY
SERVICES, L.L.C.,

LANCE MICHAEL BERSCH, and

DAVID JOHN WANZEK and LINDA
WANZEK, husband and wife,

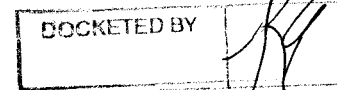
Respondents.

DOCKET NO. S-20906A-14-0063

**JOINT STATUS REPORT REGARDING
THE STATUS OF THE PROCEEDINGS IN
THE ARIZONA COURT OF APPEALS**

Arizona Corporation Commission
DOCKETED

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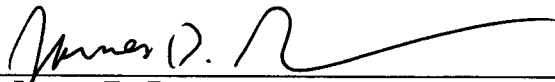
Pursuant to the Eighteenth Procedural Order in this matter, the Securities Division ("Division") and Respondents Concordia Financing Company, Ltd. ("Concordia"), ER Financial & Advisory Services, L.L.C. ("ER Financial"), Lance Michael Bersch ("Mr. Bersch"), and David John Wanzek and Linda Wanzek ("Mr. and Mrs. Wanzek") respectfully submit this status report concerning the status of the proceedings in Arizona Court of Appeals Case No. 1 CA-CV 15-0340. Mr. Bersch and Mr. and Mrs. Wanzek filed the appeal following the entry of the final judgment dismissing the special action they filed in Maricopa County Superior Court Case No. LC2014-000415-001. Concordia and ER Financial are not parties to the appeal or to the proceedings in the Superior Court.

1 On August 3, 2015, Mr. Bersch and Mr. and Mrs. Wanzek filed their Opening Brief in
2 the Court of Appeals. On September 21, 2015, the State of Arizona, the Arizona Corporation
3 Commission and its Director of the Securities Division, Matthew J. Neubert, filed their
4 Answering Brief. On October 19, 2015, Mr. Bersch and Mr. and Mrs. Wanzek filed their
5 Reply Brief. The appeal has been fully briefed.

6 On October 26, 2015, the State of Arizona, the Arizona Corporation Commission and
7 Director Neubert filed their Request for Oral Argument. On October 27, 2015, Mr. Bersch
8 and Mr. and Mrs. Wanzek filed their Request for Oral Argument. As of the filing of this Joint
9 Report, the Court of Appeals has not set oral argument.

10
11 RESPECTFULLY SUBMITTED this 2nd day of November, 2015.

12 ARIZONA CORPORATION COMMISSION

13
14 By 
15 James D. Burgess
16 Attorney for the Securities Division
17 Arizona Corporation Commission

18 SNELL & WILMER L.L.P.

19 and

20 POLSINELLI PC

21
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24 Paul J. Roshka, Jr.
25 Craig M. Waugh
26 Attorneys for Lance Michael Bersch, David
John Wanzek, Linda Wanzek and ER
Financial & Advisory Services, L.L.C.

BASKIN RICHARDS PLC

By /S/ with permission
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Attorneys for Concordia Financing
Company, Ltd.

ORIGINAL and 8 copies of the foregoing
filed this 2nd day of November, 2015, with:

Docket Control
Arizona Corporation Commission
1200 W. Washington St.
Phoenix, AZ 85007

COPY of the foregoing hand-delivered
this 2nd day of November, 2015, to:

The Honorable Mark H. Preny
Administrative Law Judge
Arizona Corporation Commission
1200 W. Washington St.
Phoenix, AZ 85007

COPIES of the foregoing mailed and emailed
this 2nd day of November, 2015, to

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